



**R-02**

**REGULATIONS FOR RESEARCH  
DEGREE PROGRAMMES:  
M.Phil, Ph.D. &  
POST-DOCTORAL PROGRAMMES  
(D.Litt, D.Sc. & LL.D.)**

**R-02**

## CONTENTS

		Page No.
<b>1.</b>	<b>Definitions</b>	<b>5</b>
<b>2.</b>	<b>Management and Coordination</b>	<b>8</b>
<b>3.</b>	<b>Master of Philosophy (M.Phil)</b>	
(1)	Organization of M.Phil Programme	8
(2)	Duration of the Programme	8
(3)	Maximum Duration of M.Phil Programme	9
(4)	Credits for the Programme	9
(5)	Admission	9
(6)	Eligibility criteria for admission to M.Phil Programme	10
(7)	Attendance	11
(8)	Supervision and Guidance	12
(9)	Evaluation	14
(10)	Passing Criteria	14
(11)	M. Phil Dissertation	16
(12)	Submission & Evaluation of M.Phil. Dissertation	16
(13)	Resubmission of Dissertation	17
(14)	Disciplinary Regulations	17
(15)	Award of M.Phil Degree	17
<b>4.</b>	<b>Doctor of Philosophy (Ph.D.)</b>	<b>18</b>
(1)	Organization of the Ph.D. Programme	18
(2)	Classifications of Applicants	18
(3)	Eligibility criteria for admission to Ph.D Programme	19
(4)	Eligibility Conditions for Admission	21
(5)	Application for Admissions	22
(6)	Constitution & Functions of Students Research Committee	24
(7)	Registration	25
(8)	Registration Period	25
(9)	Renewal of Registration	25
(10)	Time Period Requirement for submission of Ph.D. Thesis	27
(11)	Re-Registration	28
(12)	Residency Period	29
(13)	Change of Registration	29
(14)	Course Credit Requirements & Registration for Courses	30
(15)	Comprehensive Examination	32
(16)	Thesis Guide(s)	32
(17)	Appointment of Guide(s) / Co-guide(s)	34
(18)	Performance Monitoring	36

(19)	Seminar	37
(20)	Long Abstract (Synopsis)	39
(21)	Panel of Examiners for Ph.D.	40
(22)	Thesis Preparation and Submission	41
(23)	Thesis Evaluation	42
(24)	Re-submission of Thesis	44
(25)	Oral Defence Examination (Viva-Voce)	44
(26)	Attendance	46
(27)	Passing Grades	47
(28)	Cancellation of Registration	47
(29)	Disciplinary Regulations	47
(30)	Award of Ph.D. Degree	48
<b>5.</b>	<b>Degree of Doctor of Letters / Doctor of Science / Doctors of Laws</b>	<b>49</b>
(1)	Preamble	49
(2)	Administration of the Programme	49
(3)	Duration of the Programme	49
(4)	Eligibility Conditions	50
(5)	Enrollment for the Programme	50
(6)	Preparation & Submission of Thesis	51
(7)	Seminar	51
(8)	Long Abstract	51
(9)	Evaluation of Thesis	52
<b>6.</b>	<b>General Provisions for Research Degree Programmes</b>	<b>53</b>
(1)	Issuance of Provisional Certificates	53
(2)	Residual Clause	53
(3)	Composition of Committees and their Functions	53
	Student Research Committee	53
	Departmental Research Committee (DRC)	55
	Faculty Research Committee (FRC)	57
	University Research Council (URC)	58
<b>7.</b>	<b>Residual Provisions</b>	<b>60</b>

**R-02: REGULATIONS FOR RESEARCH DEGREE PROGRAMME: MASTER OF PHILOSOPHY (M.Phil), DOCTOR OF PHILOSOPHY (Ph.D.) AND POST-DOCTORAL PROGRAMMES - DEGREE OF DOCTOR OF LETTERS (D.Litt), DOCTOR OF SCIENCE (D.Sc.) AND DOCTOR OF LAWS (LL.D.)**

(In exercise of powers conferred under the provisions of Sections 6 (a), 7(a), 7(c) & 28 (d) of AUUP Act 2005, Article 20 of First Statutes & Clause 7 & 10(7) of First Ordinances, Amity University Uttar Pradesh, with an objective to formulate a Regulation for Research Degree Programme, hereby notifies these Regulations).

**1 DEFINITIONS**

(a) In these regulations, unless the context otherwise requires –

- (1) **“Act”** means the Amity University Uttar Pradesh Act, 2005 (UP Act No. 11 of 2005)
- (2) **“Academic Expert Committee”** shall mean the Committee constituted by DRC for the Post Doctoral Research Degree Programmes.
- (3) **“Applicant”** shall mean an individual who applies on a prescribed application form for admission to a M.Phil/Ph.D./Post-Doctoral Degree Programme of the University.
- (4) **“Candidate”** shall mean a person registered for the M.Phil/Ph.D./Post-Doctoral Degree Programme.
- (5) **“CoE”** shall mean Controller of Examinations of the University.
- (6) **“Co-Guide”** shall mean an additional Guide from the University/Constituent Unit and an outside organisation approved by URC on the recommendations of DRC, to help in the accomplishment of the research work of the candidate.
- (7) **“Comprehensive Examination”** shall mean a comprehensive examination required to be taken by each candidate to test his comprehension of his broad field of research, his academic preparation and potential to carry out the proposed research plan.
- (8) **“Course Work”** shall mean Course units prescribed by DRC to be completed by a candidate towards fulfillment of requirements of M.Phil/Ph.D. degree programme.

- (9) **“Credit”** shall mean an integer number indicating the weightage assigned to a Course Unit, project, research work or any other academic component, on the basis of contact hours per week for the learning activity.
- (10) **“DRC”** shall mean a Research Committee of the Department/ Constituent Unit of Amity University.
- (11) **“Department”** shall mean a University Teaching Department established by the University to carry out teaching and research.
- (12) **“Educational Institution”** shall mean those Colleges/Institutions which offer Bachelors degrees or higher degrees.
- (13) **“Full-time Candidate”** shall mean a candidate registered for a M.Phil. / Ph.D. / Post-Doctoral degree programmes devoting Full -time for completing the requirements of the programme.
- (14) **“Part-time Candidate”** shall mean a candidate who is registered for the M.Phil. / Ph.D. / Post Doctoral Degree devoting only a part of his time towards the pursuit of M.Phil. / Ph.D. / Post-Doctoral Programme while discharging his official / employment obligations.
- (15) **“Guide”** shall mean a member of the academic staff of the University/Constituent Unit, approved by URC on the recommendations of DRC to guide/supervise the research work of the candidate.
- (16) **“HOD”** shall mean the Head of University Teaching Department / Constituent Unit of the University.
- (17) **“Minimum Registration Period”** shall mean the minimum length of time span prescribed by the Academic Council required for research degree programmes.
- (18) **“M.Phil Degree”** shall mean Master of Philosophy degree.
- (19) **“ODC”** shall mean Oral Defense Committee constituted for the oral examination of a M.Phil/Ph.D. thesis.
- (20) **“Ph.D.”** shall mean the degree of Doctor of Philosophy of Amity University Uttar Pradesh.

- (21) **“Post Doctoral Degree”** shall mean the degree of Doctor of Letters (D.Litt.) / Doctor of Science (D.Sc.) / Doctor of Laws (LL.D.) of Amity University, Uttar Pradesh.
- (22) **“Registration”** shall mean formal enrolment of a candidate for M.Phil / Ph.D. / Post-Doctoral Degree Programme.
- (23)<sup>1</sup> **“Registration Period”** shall mean the length of time span commencing from the date of Registration and after payment of fees and ending on the date of submission of the thesis. This implies the specified time period as per the category (i.e. Full -time / Part Time)
- (24)<sup>2</sup> **“Residency Period”** shall mean the minimum period for which a candidate (Both, Full -time & Part Time) must work at the Department / Constituent Unit to complete the course work & Comprehensive Examination.
- (25) **“Sponsored Candidate”** shall mean a Full -time / part time candidate who receives financial support from the sponsoring organization/ employer for pursuing his M.Phil. / Ph.D. / Post Doctoral programme.
- (26) **“Standing Committee”** shall mean a Committee constituted and empowered by University Research Council for considering the reports of Oral Defence Committee on behalf of the University Research Council.
- (27) **“Students Research Committee”** shall mean a Committee constituted by the DRC for each Ph.D. candidate to scrutinize the research proposal, monitor the progress and perform other functions specified in these Regulations and in Guidelines framed under these Regulations.
- (28) **“URC”** shall mean University Research Council to formulate policies for research degree programmes and ensure high standards of research.
- (29) **“FRC”** shall mean a Research Committee of the Faculty / Domain of Amity University.

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<sup>1</sup> Para 1 (23) amended vide eleventh meeting of the Executive Council held on November 19, 2012

<sup>2</sup> Para 1 (24) was amended vide eleventh meeting of the Executive Council held on November 19, 2012

## **R-02**

- (b) Definitions specified in the Act, the Statutes, the Ordinances and the Regulations shall apply unless the context requires otherwise.

**Note:** In these Regulations where-ever the context 'He', and 'His, Him' arises shall mean to imply 'He' / 'She' and 'His, Him / Her' respectively.

## **2 MANAGEMENT AND COORDINATION**

(1) Academic Programmes leading to the award of M.Phil/Ph.D./Post-Doctoral degrees, shall be organized and managed by the Academic Council, University Research Council, DRC and any other Committee(s) constituted by the authority empowered under the Statutes, Ordinances and Regulations.

(2) Constitution, composition, functions and powers of these bodies will be as specified in the Act, the Statutes, the Ordinances and the Regulations.

## **3 MASTER OF PHILOSOPHY (M.PHIL)**

### **3.1 Organisation of M.Phil Programme:**

M.Phil. Degree programme shall be run by the University in its Departments/Constituent Units in the disciplines approved by the Academic Council on the recommendation of the Board of Studies. Further provided, that two or more Departments/ Constituent Units of the University may have an Inter-disciplinary M.Phil. Programme with the approval of the Academic Council.

### **3.2 Duration of the Programme:**

**3.2.1** <sup>3</sup>M.Phil. programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years or as prescribed by the Regulatory Authority, if any.

**3.2.2** The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil. for up to 240 days.

**3.2.3** M.Phil. Programme through Distance Education mode shall not be conducted.

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<sup>3</sup> Para 3, 3.2.1 & 3.2.2 amended vide 17<sup>th</sup> Executive Council held on 11<sup>th</sup> August 2016 , Item No EC ( 17.14- A)



**3.3 <sup>4</sup>Maximum duration of M. Phil Programme:**

The maximum period for completing the M.Phil programme shall be (n+1) year from the date of registration where 'n' is the prescribed duration.

Extension in the maximum time period may be allowed by the Vice Chancellor on the recommendations of DRC in each individual case on merit.

**3.4 Credits for the Programme:**

<sup>5</sup>The M.Phil Programme shall require a minimum of 24 Credits of which at least 12-16 Credits shall be for Course Work and 12 Credits for research methodology and thesis/ dissertation..

**3.5 <sup>6</sup>Admissions:**

<sup>7</sup>(a) University shall admit M.Phil students through an Entrance Test conducted at the level of University

- (i) University shall decide Admission on annual basis through their academic bodies a predetermined and manageable number of M.Phil. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio and such other facilities.
- (ii) Programmes shall be notified well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.
- (iii) University shall admit candidates by a two stage process through:

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<sup>4</sup> Para 3.3 was amended vide ninth meeting of the Executive Council held on April 06, 2011

<sup>5</sup> Para 3.4 amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 17.14- A)

<sup>6</sup> Para 3.5 was amended by the ninth meeting of the Executive Council held on April 06, 2011

<sup>7</sup> Para 3.5 a (i-vi) amended vide 17<sup>th</sup> Executive Council held on 11<sup>th</sup> August 2016 , Item No EC ( 17.14- A)

- (iv) An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of Centres, if any, also to be notified well in advance) at the level of the individual HEI. An interview/*viva-voce* to be organized by the HEI when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee.
- (v) The interview/*viva voce* shall also consider the following aspects, viz. whether:
  - (a) the candidate possesses the competence for the proposed research;
  - (b) the research work can be suitably undertaken at the Institution/College;
  - (c) the proposed area of research can contribute to new/additional knowledge.
- (vi) The University shall maintain the list of all the M.Phil. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/ registration.
- (b) An interdisciplinary M.Phil Programme may be proposed by two or more Department(s)/Constituent Units, with the approval of their Boards of Studies, for consideration of the Academic Council and the Executive Council.
- (c) Non Resident Indian (NRI), Persons of Indian Origin (PIO) and Foreign nationals may be admitted to the programme in accordance with the policy guidelines laid down by the Government of India.

**3.6 <sup>8</sup>Eligibility criteria for admission to the M.Phil. programme:**

**3.6.1** Candidates for admission to the M.Phil. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate

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<sup>8</sup> Para 3.6 & 3.6.1 amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 17.14- A)

or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

**<sup>9</sup> 3.6.2**

Provided that where the minimum eligibility criteria for admission laid down by various Statutory/Regulatory bodies is higher than the minimum eligibility criteria as prescribed above, the criteria laid down by such Statutory Bodies will be followed.

**3.7 Attendance:**

(a) Every candidate shall be required to attend lectures, tutorials and practicals and participate in seminars arranged in the Department/ Constituent Unit during the Programme. However, to account for late joining or other such contingencies, the attendance requirement for appearing at the examinations shall be a minimum of 75% of the classes actually held. Attendance in Seminars is compulsory. In exigencies, permission for absence in a Seminar shall be taken by the candidate.

(b) If a student is found to be continuously absent from the classes without any information for a period of 30 days, the name of such a student shall be struck off from the rolls. Such a student may, however, apply for re-admission within 15 days from the date of issue of the notice of striking off his name. The request for re-admission may be considered by the Head of Department/Constituent Unit, and only after his permission, the student shall be re-admitted on payment of prescribed re-admission fee.

(c) A student with less than 75% of attendance in the lectures, seminars and practicals, separately in each Course-Unit in a semester, shall be detained from appearing at the Semester examination. The Vice Chancellor may consider written requests made on genuine grounds for the condonation of attendance upto 5% on the recommendations of the HOD.

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<sup>9</sup> Para 3.6.2 was amended by the tenth meeting of the University Research Council held on December 07, 2012

(d) A student, who has fulfilled the attendance requirements and is eligible to appear at the examination, fails to appear at the examination or fails in the examination, shall be required subsequently to appear/reappear in a second examination on payment of prescribed fee in accordance with the Examination Regulations.

### 3.8 Supervision & Guidance:

**103.8.1 Research Supervisor:** Any regular Professor of the University with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the University with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

**3.8.2** Only a full time regular teacher of the concerned University/Institution can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.

**3.8.3** The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/*viva voce*.

- a) <sup>11</sup>Every scholar admitted to the M. Phil. programme shall be assigned a Research Supervisor within one month from the date of admission by the Departmental Research Committee (DRC) on the basis of research interest mentioned by the student at the time of his personal interview.
- b) Faculty members of the concerned Department/Institute with Ph. D. degree shall be eligible to be appointed as Supervisors.

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<sup>10</sup> Para 3.8.1, 3.8.2, and 3.8.3 added vide amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No EC (17.14- A)

<sup>11</sup> Para 3.8.3(a, b, c, d) amended by the tenth meeting of the University Research Council held on December 07, 2012

- c) If eligible supervisors are not available in the Department, DRC will assigned a supervisor to a bonafide scholar from the cognate subject(s) together with a Co-supervisor from among the regular faculty members of the concerned department / Institute.
- d) <sup>12</sup>In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/ College/ Institution on such terms and conditions as may be specified Research the consenting University

**3.8.4** A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil. scholars.

#### **3.8.5 Relocation of women scholar**

In case of **relocation of an M.Phil woman scholar due to marriage or otherwise, the research data** shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

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<sup>12</sup> Para 3.8.3 (d) , 3.8.4, and 3.8.5 added vide amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No EC ( 17.14- A)

**3.9 Evaluation:**

a) The Board of Studies of the Department/Constituent Unit concerned shall prescribe an evaluation scheme for evaluation of performance of the students with the approval of the University. The evaluation of students shall have the following components:

- (i) Continuous evaluation of performance in Course Units
- (ii) Semester examination
- (iii) Term Paper
- (iv) Seminar
- (v) Dissertation

<sup>13</sup>However, in case of M. Phil (Clinical Psychology) programme under RCI, examination will be conducted as per the provision laid down in para 4.9, 4.10, 4.11 & 4.12 of Guidelines & Syllabus effective from Academic Session 2017-2018.

b) The grading shall be done on a ten point scale according to the Table given below:

<b>Grade</b>	<b>Qualitative Value</b>	<b>Grade Point</b>
A+	Outstanding	10
A	Excellent	9
A-	Very Good	8
B+	Good	7
B	Above Average	6
B-	Average	5
C+	Satisfactory	4
C	Border Line	3
F	Fail	0

In case of M. Phil (Clinical Psychology), Min. passing Grade will be 'B-' as per RCI.

**3.10 Passing Criteria:**

- (a) <sup>14</sup>The students have to obtain a minimum of grade C+ to pass in an individual unit and SGPA 5 in each Semester and CGPA of 6 for qualifying.

<sup>13</sup> Para 3.9 (a) and (b) added vide 48th Academic Council meeting held on 3rd August, 2023 Item No. 48.19

<sup>14</sup> Para 3.10 (a) was amended by the ninth meeting of the Executive Council held on April 06, 2011

No improvement of grade of SGPA & CGPA will be allowed, if the student has already qualified.

- (b) A student who has failed in one or more Course Units shall be required to secure passing grade by
- (i) Repeating the Semester Examination(s) in the Course Units in which he has failed when these examinations are held in normal schedule
  - OR**
  - (ii) Repeating the above Course Unit(s) when they are offered.
- (c) A student, who has failed to secure the required passing SGPA in order to secure a passing SGPA shall, apart from fulfilling the requirements of 3.10(b) above, has options to
- (i) Repeat the Semester Examination(s) of other Course Units of the concerned Semester in which he has secured passing grades, when these examinations are held on normal schedule,
  - OR**
  - (ii) Repeat the above Course Unit(s) when these are offered.
- d) <sup>15</sup> (d) However, in case of M. Phil (Clinical Psychology) programme under RCI, Min. passing requirement will be as per the provision laid down in para 4.14 of Guidelines & Syllabus effective from Academic Session 2017-2018 as under :-

“4.14.1 A candidate shall be declared to have passed in either of the two parts of the M. Phil. examination if he/she obtains not less than 50% of the marks in:

- i) Each of the theory paper
- ii) Each of the practical and viva-voce examinations
- iii) Each of the submissions
- iv) The dissertation (in case of Part – II only)

4.14.2 A candidate who obtains 75% and above marks in the aggregate of both the parts shall be declared to have passed with distinction. A candidate who secures between 60% and below 75% of marks in the aggregate of both the parts shall be declared to have passed M.Phil degree in I Class. The other successful candidates as per the clause (a) of the above shall be declared to have passed M.Phil degree in II Class. If a candidate

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<sup>15</sup> Para 3.10 (d) added vide 48<sup>th</sup> Academic Council meeting held on 3<sup>rd</sup> August, 2023, Item No. 48.19

fails to pursue the course on a continuous basis, or fails or absent himself/herself from appearing in any of the university theory and practical examinations of Part – I and II, the class shall not be awarded. The merit class (Distinction / First Class) is awarded to only those candidates who pass both Part – I and II examinations in first attempt.

4.14.3 No candidate shall be permitted to appear either of Part – I or II examination more than three times.”

### **3.11 M.Phil Dissertation:**

The M.Phil. Dissertation work shall involve in depth study and critical review of the area of the topic and creation of new knowledge in the area. It may include results of original research, a fresh interpretation of existing facts and data or a critical analysis of the state-of-art in the area.

### **3.12 Submission and Evaluation of M.Phil Dissertation:**

- (a) The M.Phil dissertation will be allowed to be formally submitted only after the completion of the required Course Units.
- (b) Before submission of the dissertation, the student shall submit to HOD a long abstract of the dissertation and its proposed final title through the Guide(s).
- (c) The HOD shall constitute a Committee of two faculty members and the Guide(s) to scrutinize the contents of the abstract and the proposed title of the dissertation. The Committee shall consider the abstract and the title for approval. The Committee will also recommend a panel of four external examiners to DRC.
- (d) The Committee shall submit its report in the prescribed proforma to HOD who will countersign the proforma and forward it to CoE.
- (e) The DRC will prepare a Panel of Examiners which will be submitted to the CoE who shall submit the same to the Vice Chancellor for his approval.
- (f) The Dissertation prepared in the prescribed format, will be submitted to the Department/Constituent Unit by the candidate by the last date of submission announced by the Department/Constituent Unit. In exceptional circumstances, the HOD may permit submission of dissertation within a maximum period of three months after the last date fixed by DRC.



- (g) The M.Phil Dissertation will be evaluated by the approved Evaluators as per guidelines prescribed by the University.
- (h) <sup>16</sup> In case of M. Phil (Clinical Psychology) under RCI, there shall be Board of Examination consisting of 4 examiners of which 2 shall be external and 2 shall be internal will conduct the examination. The Chairman of the board of examiners will be the Head of the Department of Clinical Psychology who will also be an internal examiner.

Two examiners, one internal and one external shall evaluate each theory paper and dissertation. Two examiners, of whom one shall be external, will conduct the practical/clinical and viva-voce examination.

### **3.13 Resubmission of Dissertation:**

- (a) In case a candidate is required to resubmit a dissertation after revision, an additional fee for re- evaluation shall be payable by the candidate.
- (b) The revised dissertation may be submitted normally within a period of six months from the date of intimation for submission of a revised dissertation.

### **3.14 Disciplinary Regulations:**

If a M.Phil candidate is found to be involved in an act of misconduct and/or indiscipline, disciplinary action will be taken by the University as per Guidelines and Regulations relating to maintenance of discipline among students.

### **3.15 Award of M.Phil Degree:**

- (a) A candidate who has successfully completed all the requirements of the M.Phil degree programme, shall be eligible for the award of degree after the approval of the Academic Council.
- (b) <sup>17</sup>On successful completion of the evaluation process announcements of the award of the M.Phil, the Head of the Institution shall submit a soft copy of the M.Phil thesis to the University within a period of 30 days for onwards transmission to UGC for hosting the same in INFLIBNET, accessible to all authorities / University. Hard copy of the same will be placed in Central Library.

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<sup>16</sup> Para 3.12 (h) added vide 48th Academic Council meeting held on 3rd August, 2023, Item No. 48.19

<sup>17</sup> Para 3.15 (b) was additional provision by the ninth meeting of the Executive Council held on April 06, 2011

## **4. DOCTOR OF PHILOSOPHY**

### **4.1 Organization of the Ph.D. Programme:**

- (a) Programmes leading to the Degree of Doctor of Philosophy will be offered at the Departments / Constituent Units.
- (b) Subject to the general guidance of the Academic Council, research studies in the University leading to the Degree of Doctor of Philosophy (Ph.D.) shall be overseen by the University Research Council.
- (c) The Ph.D. Programme shall be organized on full-time and part-time basis.
  - i) <sup>18</sup>Full-Time Mode: Full-Time Ph.D. Student/ Candidate/ Research Scholar” means a person enrolled in the Ph.D. Programme in regular mode, devoting full-time for research work to complete the requirements of the degree
  - ii) Part-Time Mode: Part-Time scholar means a person in Ph.D programme, devoting part-time for the research work as he/she is engaged full -time in other profession in his/her organization. Such scholars do not come to Institution every day as of full-time, but visits / attends the Institution as per programme requirements for entire Ph.D duration including course work, lab work, monitoring, personal interaction with guide and Semester Progress Reports for SRC & DRC.

Note: AUUP does not offer Ph D through Distance and Online modes.

### **4.2 Classification of Applicants:**

An applicant for admission to the Ph.D. programme shall be classified under any one of the following categories:

- (a) Corporate Research Scholar: Candidates working with reputed organizations in India and abroad having at least five years experience and possessing minimum prescribed academic qualifications;

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<sup>18</sup> Para 4.1 (c) (i) & (ii) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 (Item No EC: 26.20)

- (b) Regular Academic Staff of the University: Regular academic staff of Departments/ Constituent Units who are allowed to register for Ph.D. on part time basis;
- (c) Working Teachers: Faculty members on regular strength of other Universities and recognized/ approved institutions in India and abroad, possessing minimum prescribed academic qualifications;
- (d) Non-academic Staff of University/Constituent Units : A regular member of non-academic staff of the University and its Departments/Constituent Units who satisfies eligibility qualifications and is allowed to be registered for a part-time Ph.D. programme;
- (e) Sponsored Candidates: Sponsored candidate getting Government/Semi Govt. or other Scholarships/ Fellowships/stipends, who applies for admission through the sponsoring organization;
- (f) Self-financed Indian Candidates satisfying eligibility qualifications
- (g) Self financed Foreign Candidates satisfying eligibility qualifications
- <sup>19</sup>(h) Junior Research Fellows (JRFs) / Senior Research Fellows (SRFs) / Research Associates (RAs) employed in Research Projects in the University
- (i) Amity Research Fellows (ARFs) i.e. ASTIF Fellows
- (j) Scholars from all such labs where Amity University has Memorandum of Under standing (MoU)
- (k) Scholars who are awarded National Fellowships through National Competitive Examinations

#### **4.3 Eligibility criteria for admission to Ph.D. programme**

##### **4.3.1**

- (a) The required minimum qualification for admission to a Ph.D. programme shall normally be a Master's Degree from any accredited Indian or Foreign University in the relevant field with a minimum of 55% marks or Equivalent Grade.

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<sup>19</sup> Para 4.2 (h), (i), (j), (k) were added vide 18<sup>th</sup> Academic Council Meeting held on 13 June 2014 (Item No 18.23) & 13<sup>th</sup> meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

- (b) <sup>20</sup>Applicants with M. Tech / M.E. / M.S. qualification with a minimum of 60% marks or with minimum CGPA of 6.0 on a 10 point scale or equivalent grade point average and with minimum of 60% aggregate in Xth, XIIth and B.Tech / B.E. shall be considered eligible for admission to Ph.D. programmes in Engineering and Technology.
- (c) <sup>21</sup>For Ph.D. in Law, the applicants should possess Master's degree in Law with a minimum of 55% marks or equivalent grade or M. Phil in law or LL.B Degree with a minimum of 55% marks with at least 15 years of legal practice or experience in a judicial / legal job with published professional work or otherwise proven research interest. These candidates will be treated as Corporate Research Scholar.
- (d) If considered necessary, DRC may propose other qualifications/ requirements in specific areas, consistent with the norms of UGC, AICTE and other statutory bodies, to URC for its recommendation to the Academic Council for approval.
- (e) Applicants with M.B.B.S. degree or equivalent in respective discipline with excellent academic record with minimum CGPA of 5.5 on a 10 point scale or equivalent or 55% marks may be considered eligible for admission in Ph.D. programmes in the relevant field.
- (f) Applicants with Chartered Accountancy (CA) Qualification from 'The Institute of Chartered Accountants of India' (ICAI) with a minimum 55% marks or equivalent grade may be considered eligible for admission to Ph.D. programmes in the field of Commerce and other related areas of Ph.D. in Management.
- (g) <sup>22</sup>Applicants with Company Secretary (CS) of ICSI and Cost and Works Accountancy of ICWAI Qualification with minimum of 55% marks or equivalent grade point average may be considered eligible for admission to Ph.D. programmes in the field of Commerce and other related areas of Ph.D. in Management.

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<sup>20</sup> Para 4.3 (b) was amended vide 18<sup>th</sup> Academic Council Meeting held on 13 June 2014 (Item No 18.23) & 13<sup>th</sup> meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

<sup>21</sup> Para 4.3 (c), (e), (f) was amended by the tenth meeting of the University Research Council held on December 07, 2012

<sup>22</sup> Para 4.3 (g) was added vide 18<sup>th</sup> Academic Council Meeting held on 13 June 2014 (Item No 18.23) & 13<sup>th</sup> meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

**4.3.2** <sup>23</sup>Candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. 4.3 A person whose M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. programme of the same Institution;

**4.3.3** Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

#### **4.4 Eligibility conditions for admission:**

- (a) It is desirable that the applicants who possess minimum prescribed qualifications for admission to Ph.D. programme, should also have an excellent academic/ professional attainment in the relevant discipline.
- (b) The applicant for Part-time Ph.D. should:
  - i. Prove to the satisfaction of DRC that his official duties permit him to devote sufficient time to research.
  - ii. <sup>24</sup>As research work of Ph.D scholar is quite challenging, it is essential that only working professional who could provide NOC from their employer alongwith evidence that they have adequate facility of research in their respective organisation related to their research topic be given admission in Ph.D Programmes..
- (c) <sup>25</sup>A candidate who has completed M.Phil may be given exemption from the course work in the Ph.D. Programme, on merit of each individual case, provided candidate has done the relevant course work in M.Phil and is pursuing research in the same field, on the recommendation of DRC, subject to the approval of the Vice Chancellor, as per UGC Regulations.

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<sup>23</sup> Para 4.3.2&4.3.3, added vide amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No EC ( 17.14- A)

<sup>24</sup> Para 4.4 (b) ii amended amended vide 27<sup>th</sup> meeting of Academic Council held on 3<sup>rd</sup> November, 2017 (Item No. AC 27.13) & 18<sup>th</sup> meeting of Executive Council held on 12<sup>th</sup> December 2017 & 20 December, 2017 (Item No. EC 18.51)

<sup>25</sup> Para 4.4 (c) was amended by the eleventh meeting of the Executive Council held on November 19, 2012

**4.5    <sup>26</sup>Application for Admissions:**

- (a)    <sup>27</sup>Applications for admission to Ph.D. Programmes commencing from July / August and January / February each year, shall be invited through an open advertisement, twice a year in the prescribed form, as per the guidelines issued by the University.
- (b)    Applications shall be screened by the Admission Department of the University and the candidates shall be shortlisted based on the entrance test followed by the Interview, to be conducted by the Admission Department of the University, as per the guidelines issued by the University.
  - (i)    <sup>28</sup>In case of degrees awarded by foreign Universities screening will be done by Equivalence Committee- Ph.D of Amity University Uttar Pradesh with following compositions.
    - (i) Pro VC/Dean/Sr HoI/Sr Professor (nominee of VC) - Chairperson
    - (ii) Concerned Chairperson of FRC - Member
    - (iii) One Sr. Professor as expert from the related field - Member
    - (iv) CoE - Member
    - (v) HoD, PhD Deptt. - Member
    - (vi) Director Admissions - Member
    - Secretary
- (c)    <sup>29</sup>University will laid down terms and conditions for Ph.D. Entrance Test for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme in Guidelines.Applications shall be screened for eligibility by the Admission Department of the University and the candidates shall be shortlisted based on the entrance test followed by the Interview, to be conducted by the Admission Department of the University, as per the guidelines issued by the University.
- (d)    The Entrance Test shall be conducted in two parts – Aptitude Test and Subject Test. The Qualifying Percentage of Marks in the entrance Test shall be 50%.

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<sup>26</sup> Para 4.5 (a) & (b) was amended by the thirteenth meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

<sup>27</sup> Para 4.5 (a) was amended by the eleventh meeting of the Executive Council held on November 19, 2012

<sup>28</sup> Para 4.5 (b) i added vide 26<sup>th</sup> meeting of Academic Council held on 20<sup>th</sup> April, 2017 (Item No. 26.10) & 18<sup>th</sup> meeting of Executive Council held on 12<sup>th</sup> December 2017 & 20 December 2017 (Item No. 18.31)

<sup>29</sup> Para 4.5(c), added& amended vide 17<sup>th</sup> meeting of Executive Council held on 11<sup>th</sup> Aug 2016, (Item No EC 17.14A)

- (e) The candidates shall appear before the Faculty Research Committee (FRC) for selection.

<sup>30</sup>An interview/*viva-voce* to be organized by the University when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Faculty Research Committee (FRC) for selection.

- (i) The interview/*viva voce* shall also consider the following aspects, viz. whether:
    - (ii) the candidate possesses the competence for the proposed research;
    - (iii) the research work can be suitably undertaken at the Institution/College;
    - (iv) the proposed area of research can contribute to new/additional knowledge.
  - (v) The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.
- (f) <sup>31</sup>A weightage of 70% to the entrance test and 30% to the performance in interview/*viva voce* will be given for selection of candidates.
- (g) After recommendations of FRC, the candidates shall be interviewed by the competent authority. The admission shall be approved by the Chairman Admission Committee.
- (h) After the approval by the Chairman Admission Committee, Admission Letter shall be issued by the office of Director Admissions.
- (i) Candidate shall pay the prescribed fee and complete his / her registration for the Programme by the date specified by the office of Director Admissions of the University.

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<sup>30</sup>Para 4.5 (e) (i-v) added & amended vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No (EC 17.14)A

<sup>31</sup> Para 4.5 (f) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

- (j) The registration of candidate shall be treated as provisional till successful completion of the Course Work and Comprehensive Examinations.
- (k) Non Resident Indian (NRI), Persons of Indian Origin (PIO) and Foreign Nationals may be admitted to the programme in accordance with the policy guidelines laid down by the Government of India.
- (l) FRC / DRC shall prepare a tentative list of guides depending on the specific areas of research indicated by the shortlisted applicants.
- (m) FRC / DRC shall for each applicant, constitute a Student Research Committee (SRC).
- (n) HOD shall invite each selected candidate to submit the synopsis of his proposed research in consultation with a teacher from the tentative list of guides. The synopsis shall be scrutinized by the Student Research Committee.
- (o) <sup>32</sup>**Equivalence of foreign degree:** If the Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

#### **4.6 Constitution & Functions of Student Research Committee:**

- (a) The Student Research Committee shall comprise Guide(s), two other teachers in the related area and HOD as the Chairman.
- (b) SRC shall perform the following functions:
  - (i) Invite the candidate for scrutinizing his research proposal to ensure that the proposal is suitable
  - (ii) Ascertain the availability of facilities required for the proposed research
  - (iii) Prescribe the Course Units to be taken by the candidate, subject to a minimum of two or in exceptional cases, recommend exemption from the requirement with justification

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<sup>32</sup>Para 4.5 (o) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No EC ( 17.14)A



- (iv) Recommend to DRC about the suitability or otherwise of the candidate and his proposal along with relevant details and documents
- (v) Periodically monitor the performance of the work of the candidate and provide advice to candidate, where necessary
- (vi) Approve the long abstract of the thesis on its completion and the final title of the thesis.
- (vii) Recommend the panel of examiners.
- (viii) Full adherence to Academic Calendar & norms of Ph.D. Programmes.

**4.7 <sup>33</sup>Registration:**

- (a) A summary report of the candidates registered for Ph.D. shall be duly sent to the Registrar of the University for enrolment of the candidate.
- (b) Registrar of the University shall issue letter of enrollment to the scholar with copy to concerned Head of the Institution (HoI) and Chairperson Faculty Research Committee.

**4.8 <sup>34</sup>Registration Period:**

The date of registration of the candidates shall be the date of actual registration on Amizone.

**4.9 Renewal of Registration:**

<sup>35</sup>Candidates will be required to renew their registration every semester by the specified date till the submission of their thesis. The renewal of registration shall be done, as per the guidelines issued by the University. Student who fails to fulfill the above conditions will not be re-registered and will cease to be a student.

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<sup>33</sup> Para 4.7 (a&b) & 4.9 were amended vide 13<sup>th</sup> Executive Council meeting held on 25<sup>th</sup> August, 2014 Item No. EC (13.26)

<sup>34</sup>Para 4.8 amended vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>35</sup> Para 4.9 amended vide 13<sup>th</sup> Executive Council meeting held on 25<sup>th</sup> August, 2014 Item No. EC (13.26)

(i) <sup>36</sup>The candidate shall not be promoted to 2<sup>nd</sup> semester unless he/she qualifies in all course units (including area specific) as specified and recommended by the DRC.

(ii) Scholar will be promoted to subsequent semester only if semester progress report is satisfactory else he/she will be retained in the same semester *and continue with the research work.*

(iii) The scholar will be required to pay the semester fee even if he/she is retained in the same semester due to unsatisfactory Semester Progress Report (SPR).

(iv) a) <sup>37</sup>The scholar may take Academic Break for one to two semesters on in few cases for longer duration due to valid reasons with prior permission of the Competent Authority. In such cases the scholar will get the academic break and accordingly batch (es) will be lowered. Scholar will not be required to pay the semester fee for the relevant semester(s). However, the scholar will have to pay Rs. 15,000/- as re-admission fee in addition to prescribed Semester Fee after rejoining.

b) Woman candidates may be allowed Maternity Leave/ Child Care Leave upto 240 day once in a entire duration of the Ph. D. Programme after prior approval of the Competent Authority. In such cases the scholar will get the Academic break due to maternity and accordingly batch(es) will be lowered. Scholar will not be required to pay the semester fee for the relevant semester(s). Also, scholar will be exempted to pay Re-admission fee of Rs. 15,000/-, however, the scholar will have to pay prescribed Semester Fee after rejoining.

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<sup>36</sup> Para 4.9 (i) to (v) added vide 14<sup>th</sup> Executive Council meeting held on 17<sup>th</sup> July 2015 Item No. (EC 14.49)

<sup>37</sup> Para 4.9 (iv) a & b amended/ added vide 30<sup>th</sup> Academic Council meeting held on 26<sup>th</sup> October, 2018 (Item No. AC: 30.20) & 20<sup>th</sup> Executive Council meeting held on 30<sup>th</sup> November, 2018 (Item No. EC: 20.12)

- (v) The period for above cases will be extended depending on the number of unsatisfactory progress report/duration of leave availed by the scholar. However, the maximum period for completion of Ph.D program will remain N+N+1 from the date of admission.

**4.10 <sup>38</sup>Time Period Requirement for submission of the Ph.D. Thesis:**

- (a) Minimum Time Period:
- (i) The minimum period of registration for Full-time Ph.D. candidate shall be three years.
- (ii) The minimum period of registration for Part-time Ph.D. candidate shall be four & half years.
- (iii) <sup>39</sup> The minimum period of four & half years for Part-time Scholars having rich experience while working with reputed organizations in India and abroad may, on the recommendations of DRC, be reduced to three years & six months. Part time PhD scholar shall be allowed to submit thesis after completing 3.5 years but he/she has to pay the due fees of rest of the one year i.e. minimum period of four and half year.
- (iv) <sup>40</sup>If any scholar has “Unsatisfactory” SPR, his/her minimum period of the Ph.D Programme will be extended by number of unsatisfactory SPRs. However, the maximum time period to complete Ph.D Programme will remain six years for both Full-Time & Part-Time Scholars.
- (v) The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

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<sup>38</sup> Para 4.10 (a) (i- v), (b) & (c) amended/ added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, (Item No EC: 17.14- A) & 24<sup>th</sup> Academic Council Meeting held on 1<sup>st</sup> August 2016, (Item No: AC (24.24)

<sup>39</sup> Para 4.10(a)(iii)&(iv) amended vide 35<sup>th</sup> Academic Council meeting held on 4<sup>th</sup> & 20<sup>th</sup> July, 2020, (Item No. AC 35.06) & 22<sup>nd</sup> Executive Council meeting held on 1<sup>st</sup> September, 2020 (Item No. EC 22.34 (b).

<sup>40</sup> Para 4.10 (a) (iii) & (iv) amended vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 (Item No EC: 26.20)

(b) (i) Maximum Period:

The candidates registered for Full-Time and Part-Time Ph.D. Programme shall be allowed to submit their thesis within six years of the date of their registration. However, on expiry of maximum specified period any further extension due to valid reasons duly supported with recommendations of DRC may be approved by the Vice Chancellor as a very special case as shown below:-

<sup>41</sup>After expiry of Extended Period i.e. N+N for Full-Time and N+1.5 years for Part-Time where “N” is a Minimum Registration Period (i.e. for Full-Time scholars, the Minimum Registration Period is 3 years and for Part-Time scholars, the Minimum Registration period is 4 ½ years), each scholar will be required to pay 25% of the prescribed Academic Fee for the extended semester(s) upto one year.

Note: The Ph.D scholars of old batches i.e. prior to July 2016 Batch will be required to pay re-admission charges after N+N period as applicable.

(ii) After expiry of extended period of one year i.e. N+N+1 for full-time and N+1.5+1 for part-time scholars, if extension is granted by the Vice Chancellor as a special case for a period of one more year i.e. N+N+1+1 for full-time and N+1.5+1+1 for part-time scholar, the concerned scholar will be required to pay 50% of the prescribed Academic Fee for extension of one more year. However, this will be the last extension as there is no provision for further extension.

(iii) On expiry of maximum extended period i.e. N+N+1+1 for full-time and N+1.5+1+1 for part-time scholars, Registration in Ph.D Programme shall stand cancelled automatically.

(c) Notwithstanding above amendments each scholar will pay semester fee till submission of Thesis.

**4.11 Re-Registration:**

After the expiry of the maximum period of Ph.D. registration, a candidate will be allowed to re-register only if an extension of registration is approved by the Vice Chancellor.

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<sup>41</sup>Para 4.10(b)(i),(ii)&(iii) amended and added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

**4.12 <sup>42</sup>Residency Period:**

The minimum registration period for Full-Time candidates in the Department/ Constituent unit shall ordinarily be three years.

There is no residency period for Part-Time Ph.D scholars but they need to attend Ph.D course work classes as per schedule.

If the batch of the scholar is lowered due to unsatisfactory Semester Progress Report (SPR) or Semester Break or failure in course work examination etc. the minimum duration will be extended accordingly. However, the maximum period will remain unchanged i.e. six years.

The scholar may proceed to carry out continuous specific field work /research work / lab work outside the institution upto four weeks with prior permission of the Chairperson DRC on recommendation of the concerned Guide. However, beyond the limit of four weeks, the scholar will only be allowed to proceed outside the institution on recommendations of Guide & DRC with the approval of Vice Chancellor.

Further, marking of Bio-Metric attendance for Full-Time Ph.D Scholars will be mandatory till the submission of thesis.

**4.13 Change of Registration:**

- (a) <sup>43</sup>A candidate may be allowed for valid reasons to change his/her status from Part-Time to Full-Time or vice-versa on the recommendations of DRC and with the approval of the University. Conversion from Full-Time to Part-Time & Vice-Versa will be permissible only after successful completion of Coursework and Comprehensive Examination with the approval of the Competent Authority. However, such conversion will be allowed from 2nd semester onwards.
- (b) If a Full -Time scholar changes his/her status to a Part- Time scholar, the minimum period requirement shall be four and half (4½ years) and maximum will be six years. However, the conversion shall be allowed to working professional only who could provide NOC from their employer alongwith evidence that they have adequate facility of research in their respective organization related to their research topic be given admission in Ph.D Programmes.

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<sup>42</sup>Para 4.12 amended vide 44<sup>th</sup> Academic Council held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>43</sup>Para 4.13 (a to c) amended and Para 4.13 (d to e) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

- (c) If a Part-Time scholar changes his/her status to a Full -Time scholar, such scholar will lose 50% of the period spent on annual basis for Ph.D. Accordingly, the scholar shall be required to spend minimum three years in Full-time Ph.D programme after taking into account 50% of the period already spent by him/her as Part-time scholar. However, the maximum period will remain unchanged i.e. six years.
- (d) Both Full-Time & Part-Time on conversion will pay prescribed conversion charges in addition to prescribed semester fees.
- (e) Research scholar taking up a job/assignment: A Full -Time Ph.D Scholar is not permitted to undertake job/ assignment during his/ her PhD programme. If he/she takes up a job/ assignment either within the University or outside, then such scholar will have to change his/her mode from Full-Time to Part-Time. Such candidates are required to seek NOC through DRC and not to join job/assignment till conversion is approved by the Competent Authority.

#### **4.14 <sup>44</sup>Course Credit Requirements and Registration for Courses:**

- (a) Departments/ Constituent Units shall draw a list of post-graduate or doctoral level Courses which can be offered to Ph.D. scholars.
- (b) <sup>45</sup>Each Ph.D Scholar is required to undertake Pre-Ph.D Course Work of minimum 14 Credit Units including two Domain/Faculty specific Compulsory Courses based on (Research Methodology and Quantitative Techniques of 4 credit units each), Area Specific Course(s) of 4 credits and Research and Publication Ethics (RPE) of 2 credits .
  - (i) The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.

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<sup>44</sup>Para 4.14 was amended vide 13<sup>th</sup> meeting of the Executive Council held on 25<sup>th</sup> August 2014 Item No. (EC 13.26)

<sup>45</sup>Para 4.14(b)&(i) amended, Para 4.14(b)(ii) deleted, Para 4.14(b) (ii) to (iv) amended, Para 4.14(b)(vi) deleted, Para 4.14(c) amended, Para 4.14(d), (e) & (g) deleted and Para 4.14(h) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

All courses prescribed for Ph.D. course work shall be in conformity with the credit hour.

(ii) The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Departmental Research Committee.

(iii) All candidates admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department within the first semester.

(iv) Candidates who have completed M.Phil may be given exemption from the course work in the Ph.D Programme, on merit of each individual case, provided the candidate has done the relevant course work in M.Phil and is pursuing research in the same field on the recommendation of DRC supported with course mapping done by the DRC and subsequent approval of the Vice Chancellor. However, in such cases, the scholar will be required to undertake mandatory courses of RPE, Area Specific course & Comprehensive Examination as per the University rules.

- (c) The minimum passing criteria in the Courses shall be B+. A scholar will earn credits for a Course Unit only if he/she obtains a minimum of Grade B+. Further, if a Scholar fails to get qualifying Grade B+, he /she will be given second opportunity to improve his / her minimum qualifying Grade to B+, failing which his / her provisional registration shall be terminated.
- (d) The Passing criteria in a course should be 60%. Absolute Grading will be used for Ph.D programmes.
- (e) The scholars registered for Ph.D. Programmes in Departments / Constituent Units shall be allowed to complete the Course Unit requirements, if considered necessary, through outside department courses, with the approval of Vice Chancellor.
- (f) Each Scholar, after successful completion of the prescribed Course Work, shall be required to take comprehensive examinations.

- (g) The enrollment of scholar shall be treated as provisional till successful completion of the prescribed Course Work and Comprehensive Examinations.
- (h) Only after successful completion of Comprehensive examination, the enrollment of the scholar will be confirmed and he/she will be promoted to next semester i.e. second semester

#### **4.15 Comprehensive Examination:**

- (a) <sup>46</sup>Each candidate, after successful completion of prescribed course work, shall be required to take a comprehensive examination immediately after declaration of ESE result before completion of first semester (irrespective of the fact that scholar has been granted course work exemptions in RM, QT & Area Specific by the Competent Authority). The comprehension exam will test his/ her comprehension or knowledge in his / her broad field of research and his / her academic preparation and potentials to carry out the research work. The comprehensive examination shall be a combination of both written and oral examination and shall be conducted by the Department / Constituent Unit. The candidate should secure at least Grade B+ i.e. 60% marks in comprehensive examination.
- (b) Unsuccessful candidates shall be allowed a second opportunity to clear the comprehensive examination. If a candidate is unsuccessful again, his Ph.D. registration shall be cancelled.

#### **4.16 Research Guide(s)**

- (a) <sup>47</sup>The Guide(s) can be
  - (i) <sup>48</sup>Any Full-Time faculty member of the University / Constituent Unit with a Ph.D degree and with at least three years of teaching or research experience as evident by quality research publications after award of Ph.D Degree.

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<sup>46</sup> Para 4.15 (a) amended vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>47</sup> Para 4.16 (a), (d) were amended by the eleventh meeting of the Executive Council held on 19 November 2012

<sup>48</sup> Para 4.16 (a) (i) added, Para 4.16 (a) (ii) to (iv) amended, Para 4.16 (iv) (b), (c) & (d) deleted and Para 4.16 (v to vii) amended and Para 4.16.4 deleted vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)



<sup>49</sup>(ii) Any regular Professor/Associate Professor/Assistant Professor of the University with a Ph.D Degree and requisite number of publications as First/Communicating Author in Scopus/WoS indexed journals as mentioned at Annexure-‘A’ may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of journals indexed in Scopus/WoS, the Competent Authority based on the recommendation of Chairperson FRC & DRC with reasons recorded in writing may relax the above condition for recognition of a person as Research Supervisor.

(iii) Only a full time regular teacher of the University can act as a supervisor. The main supervisors from external organizations are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same University or from other related institutions with the approval of the Competent Authority on the recommendations of DRC and FRC.

(iv) An external eligible person with Ph.D Degree with not less than three years of research experience and proven merit and quality research publications in Scopus/WoS indexed journals in the subject area may be appointed as an external Co-Guide.

(v) In case the Guide proceeds on leave for twelve months or more, resigns or expires, an arrangement for alternate/new Guide shall be made on recommendations of DRC / FRC and with the approval of Competent Authority.

A faculty member who is due to retire within the next two years can be appointed as a Guide and can continue to be the Guide even after his retirement provided that the DRC is convinced of his availability for continued guidance to the candidate. In other cases, a faculty member on retirement may continue as a Guide, if re-employed or appointed as Emeritus Scientist; or, if the long abstract of the thesis has been submitted by the candidate. Appointment of another Guide, if necessary, will be as per provisions made in these regulations but the original guide will remain as Co-Guide.

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<sup>49</sup>Para 4.16 (a) (i & ii) amended vide 17<sup>th</sup> Executive council meeting held on 11<sup>th</sup> August 2016 Item No (EC 17.14 (A)

(vi) The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the entitlement of Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.

(vii) In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/ College/ Institution on such terms and conditions as will be decided by Departmental Research Committee from time to time.

(viii) A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to four (4) Ph.D. scholars.

#### **4.17 Appointment of Guide(s) / Co-Guide(s):**

- (a) <sup>50</sup>The FRC / DRC may appoint more than one Guide(s) not exceeding a total of three to guide a candidate. Normally, there should not be more than two Guides from within the Department / Constituent Unit.
- (b) A faculty member appointed as a Ph.D. Guide is normally expected to be available to a research candidate in the University Department/ Constituent Unit till the thesis is submitted.
- (c) <sup>51</sup>A suitable external Co-guide, i.e. Outside Amity University Uttar Pradesh, is mandatory for each Ph.D. scholar. The external co-guide should be from a University / Institution / Research organization / corporate House / Industry of repute from India or abroad.

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<sup>50</sup> Para 4.17 (a) was amended by the eleventh meeting of the Executive Council held on November 19, 2012

<sup>51</sup> Para 4.17 (a) was amended & (c) was an additional provision made in thirteenth meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

- (d) <sup>52</sup>A person shall be recognized as External Co-Guide in any of the Faculty of Biosciences, Health Sciences and Allied Biomedical disciplines, if he/she possess Post Graduate qualification with Ph.D (for basic scientists) (MD/MS/DNB/M Ch./DM/MDS) or equivalent with at least 03 years of research experience after obtaining Ph.D/MS/DM/DNB degree as evident by quality publications in peer reviewed indexed journals from the University departments (or) in any approved affiliated Post Graduate Teaching & Medical Research Institution (or) Hospitals/specialized clinical centers. As per the prevalent practice Ayurvedic/Homeopathic/Unani medical doctors with equivalent qualifications may also be appointed as External Co-Guides, if he/she fulfils the above criteria.
- (e) The approved External Co-Guide shall submit his/her consent letter & NOC from his/her employer/organization while accepting the request of the candidate to serve as External Co-Guide for his/her research leading to the award of Ph.D Degree.
  - (i) External Co-Guide is eligible to be assigned Co-Guideship for a specific number of Ph.D students as per University Guidelines.
  - (ii) The duties of External Co-Guide mentioned in Ph.D Regulations are to be followed.

#### **4.17.1 <sup>53</sup>Relocation of women Scholar**

In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

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<sup>52</sup> Para 4.17(d)&(e) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>53</sup> Para 4.17.1 to 4.17.2(i- iii) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC( 7.14- A)

#### **4.17.2 Selection & Appointment of International Co-Guides :**

i) On the recommendation of the guide, DRC may appointment an International Ph.D. Guide for a student. This international Ph.D. guide should meet all the qualifying requirements as specified by AUUP Regulation. The main guide of AUUP will get the consent of the proposed international guide as well as his/her CV and submit to DRC through HoI.

ii) The international guide will play supportive role to the main guide and AUUP in guiding and facilitating high quality research.

iii) Role of international Ph.D. guide: While the major guidance and day-to-day interaction will be from Amity guide, the international co-guide can interact with the Ph.D. students and the Amity Supervisor periodically, at least on a fortnightly basis to get an update of the progress and share his/her own thoughts and guidance on the progress of the work as well as suggestions, new approach or give relevant input for furthering this collaborative endeavour.

They can also participate through Skype in the Student Research Committee (SRC) meeting being organized by Amity guides.

#### **4.18 Performance Monitoring:**

<sup>54</sup>The performance of the each scholar must be monitored by respective guide regularly and the same should be recorded monthly as per approved Ph.D Academic Calendar.

The academic / research progress of each candidate shall be monitored by SRC/DRC. For this purpose each candidate shall submit a progress report in the prescribed proforma at the end of each Semester to the Chairman, SRC/DRC through his Guide(s). DRC shall evaluate the work of the candidate and award S (Satisfactory) or U (Unsatisfactory) grade. The progress of scholar who is absent in SRC and DRC be assessed as “Unsatisfactory” except in genuine reasons for which recommendation of the Chairman DRC and subsequent cases of the Competent Authority has been accorded. Such cases will be given an opportunity for the assessment of SPR in next DRC.

(a) If the progress is “unsatisfactory”, on the first appearance of “U” grade, a warning would be issued to the candidate.

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<sup>54</sup> Para 4.18 amended vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

(b) If a candidate gets three “U’s” or two successive “U’s”, his registration will be liable to be terminated.

(c) <sup>55</sup>**Responsibilities towards performance monitoring**

Ph.D. student and the two guides can interact through e-mails and other electronic connectivity as and when required and even more frequently. Whenever the International Ph.D. guide is visiting India, he/she can be invited to stay in our campus at least for two days to interact with the Ph.D. student as well as Amity guide. These International guides can be extended local hospitality like accommodation, messing, transport etc. during their stay in our campus. The International Ph.D. guide can be invited to participate in the seminar either in person or through Skype.

**4.19 <sup>56</sup>Seminar:**

a) <sup>57</sup>The Scholar, before submitting the long abstract of his /her Ph.D. thesis, will present his/her work in a Seminar in which DRC and other faculty members, Ph.D. scholars and post-graduate students are expected to be present.

(b) The student before submitting the long abstract of his/her Ph.D. thesis must fulfill the following condition:

<sup>58</sup>(i) The detailed category wise conditions are as under:

Category-1 (High Research Output Potential)		
Sl. No.	FRC/Domain	Any one of the following
(i)	Agriculture Science Domain	2 publications in Scopus/Web of Science (core collection) and 2 Conference proceedings/presentations
(ii)	Faculty of Bio-Sciences & Biotechnology	<b>OR</b> 1 Publication in Scopus if published in Q1, Q2 or Q3 category journal of Scopus/ Web of Science (core collection) and 2 Conference proceedings/presentations indexed in Scopus/Web of Science (Core Collection)
(iii)	Engineering & Technology Domain	
(iv)	Faculty of Health and Allied Sciences	
(v)	Natural Resources & Environmental Sciences Domain	<b>OR</b> 1 publication in UGC care Listed Group-I or Group - II and 3 Conference

<sup>55</sup> Para 4.18 (c) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016, Item No EC( 7.14- A)

<sup>56</sup> Para 4.19 amended vide 13<sup>th</sup> meeting of Executive Council held on August 25, 2014 (Item No. 13.26)

<sup>57</sup> Para 4.19 (a)&(b) amended vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>58</sup> Para 4.19 (b) (i) amended/ added vide 48<sup>th</sup> Academic Council meeting held on 3<sup>rd</sup> August, 2023, Item No. 48.05 and vide 27<sup>th</sup> Executive Council meeting held on 08<sup>th</sup> September, 2023, Item No. 27.11 (D).

(vi)	Science & Technology Domain	proceedings/ presentations indexed in Scopus / Web of Science (Core Collection)
<b>Category-2 (Medium Research Output Potential)</b>		
<b>Sl. No.</b>	<b>FRC/Domain</b>	<b>Any One of the following</b>
(i)	Faculty of Arts, Journalism & Communication, Humanities* {Except Amity Institute for Sanskrit Studies and Research (AISSR)}	2 publications in Scopus/Web of Science (core collection) and 2 Conference proceedings/ presentations <b>OR</b> 1 Publication in Scopus if published in Q1, Q2 or Q3 category journal of Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations indexed in Scopus/ Web of Science (Core Collection)
(ii)	Faculty of Management Studies	<b>OR</b> 1 publication in UGC care Listed Group-I or Group-II and 3 Conference proceedings / presentations indexed in Scopus/ Web of Science (Core Collection) <b>OR</b> 2 publications in UGC Care Listed Group-I and 2 Conference proceedings/presentations <b>OR</b> 1 publication in Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations
(iii)	Faculty of Education	
(iv)	Faculty of Hospitality & Tourism	
(v)	Faculty of Law	
(vi)	Faculty of Architecture, Planning & Design	
(vii)	Social Sciences/Public Policy/International and Defence & Strategic Studies Domain	<b>OR</b> 2 publications in UGC Care Listed Group-I and 2 Conference proceedings/presentations <b>OR</b> 1 publication in Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations
<b>Category-3 (Low Research Output Potential)</b>		
<b>Sl.</b>	<b>FRC/ Domain/ Institution</b>	<b>Any One of the following</b>
(i)	Faculty of Rehabilitation Sciences (all institutions)	2 publications in Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations <b>OR</b> 1 Scopus if published in Q1, Q2 or Q3 category journal of Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations indexed in Scopus /Web of Science (Core Collection) <b>OR</b> 1 publication in UGC care Listed Group-I or Group-II and 3 Conference proceedings / presentations indexed in Scopus/ Web of Science (Core Collection) <b>OR</b> 2 publications in UGC Care Listed
(ii)	Faculty of Applied Arts/Fine Arts/performing Arts/visual Arts (all institutions)	<b>OR</b> 1 publication in UGC care Listed Group-I or Group-II and 3 Conference proceedings / presentations indexed in Scopus/ Web of Science (Core Collection) <b>OR</b> 2 publications in UGC Care Listed
(iii)	-Amity School of Foreign Languages	
	-Amity Institute for Sanskrit Studies and Research	
	-Amity Finishing School	
	-Amity School of Film & Drama	

-Amity Indian Military College for Women	<p>Group-I and 2 Conference proceedings/ presentations.</p> <p><b>OR</b></p> <p>1 publication in Scopus/ Web of Science (core collection) and 2 Conference proceedings/ presentations</p> <p><b>OR</b></p> <p>1 publication in UGC Care Listed Group-I and 2 Conference proceedings/ presentations.</p> <p><b>OR</b></p> <p>2 publications in UGC Care Listed Group-I and 1 Conference proceeding/presentation</p> <p><b>OR</b></p> <p>1 publication in Scopus/Web of Science (core collection) and 1 Conference Proceeding / presentation</p>
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**NOTE:**

- If Journal is in Q1, Q2, Q3 or Q4, acceptance (with volume & issue) will be treated as publication.
- If a journal is in UGC care list Group-I or Group-II for more than past five years, acceptance (with volume & issue) will be treated as publication.

(ii) The publication must be as First Author followed by co-authors including Guide as corresponding author. Ph.D scholar cannot be a corresponding author but must be first author.

(iii) Research articles published by the scholar must be affiliated with concerned Institutions and Amity University Uttar Pradesh where the scholar is registered in Ph.D Programme.

(iv) Publications in the form of Review/book chapter/conference paper will not be considered as original research articles except Meta-analysis and Systematic Review.

**4.20 Long Abstract (Synopsis):**

- On completion of research work, the candidate shall submit to DRC through Guide(s), eight copies of the long abstract of his thesis.
- The long abstract will be submitted by the candidate normally within two months after the presentation in Seminar.

- (c) DRC shall, in a meeting in which the candidate shall be required to be present, scrutinize the long abstract for approval and approve the title of the thesis after its revision, if necessary. The candidate shall then be excused and DRC shall prepare panel(s) of examiners as specified in the Guidelines for Research Degree Programmes.
- (d) The DRC will forward the long abstract with its recommendations to the University Research Council for appointment of the thesis examiners.
- (e) If a candidate fails to submit his thesis within four months of the approval of long abstract, he shall be required to submit a fresh abstract. However, in case a candidate fails to submit his thesis within the stipulated time and has proper justification for the delay, the Head of Department/ Constituent Unit may, on the recommendations made by the DRC and on individual merits of each case, grant him an extension of not more than two months.
- (f) **<sup>59</sup>Responsibilities regarding Synopsis Preparation / Submission**

The long abstract of the Thesis will also be authenticated by the international co-guide before submission to DRC by the main Guide.

#### **4.21 Panel of Examiners for Ph.D.:**

- (a) A Ph.D. thesis shall be evaluated by three examiners, two of whom shall be external and the third shall be guide or guides (to be counted as one examiner). DRC shall decide for each discipline if
  - (i) both the external examiners should be Indian
  - or**
  - (ii) one of the external examiner be Indian and the other a foreigner.

In the first case, DRC shall prepare a panel of at least five Indian examiners and in the second case DRC shall prepare two panels one of at least three Indian examiners and the other of at least three foreign examiners.

- (b) **<sup>60</sup>Chairperson DRC shall submit the panel(s) of examiners to Controller of Examinations for seeking approval by the Vice Chancellor.**

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<sup>59</sup> Para 4.20 (f) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 7.14- A)



- (c) The International co-guide(s) and external co-guide(s) can also suggest names of examiners through Guide.
- (d) The Chair-DRC approves and forwards the panel of examiners separately for each candidate.
- (e) The Chair-DRC must ensure that the same panel of examiners is NOT repeated for more than one candidate at the same time.
- (f) Two examiners should not be from same Department/ Institute. The external examiners should preferably be in the rank of Professor or Associate Professor with specialization in the same or related field as evidenced by quality research publication in the same field.
- (g) As far as it is practicable, the examination department may ensure that the same examiner does not get to examine more than one thesis in an Academic Session

#### **4.22 Thesis Preparation and Submission:**

- (a) The thesis shall be written in English or in any other language as approved by DRC in the format and style in accordance with the guidelines for Research Degree Programmes.
- (b) No part of thesis shall have been submitted for the award of any other degree or diploma.
- (c) The thesis shall involve in depth study and critical review of the area of his topic and creation of new knowledge in the area. It should bear evidence of the candidate's capacity for analysis and judgment as well as his ability to carry out independent investigation, design or development. It should be characterized by discovery of facts or fresh approach towards interpretation of facts and theories or significant contribution to knowledge or development or a combination of these.
- (i) <sup>61</sup>The Ph.D. thesis jointly supervised need to be duly signed by the International Ph.D. Co-guide. During the viva-voce they can also join

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<sup>60</sup> Para 4.21(b) amended & Para 4.21 (c to g) added vide 44<sup>th</sup> Academic Council meeting held on 23<sup>rd</sup> & 24<sup>th</sup> December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>61</sup> Para 4.22 ( c-(i) ,(ii) & (iii)) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 7.14- A)

through Skype or if they happen to be in India, they can also be present during the viva-voce examination.

- (ii) He/She can also become the co-author in the papers being submitted for publication wherever he/she has contributed and similar credit may also be given for the patent or any other IPR generated with their input.
- (iii) Monitoring of the performance of International Co-guide will be done by DRC.
- (d) <sup>62</sup>After clearing the viva-voce examination, five copies of the thesis in maroon colour hard cover binding must be submitted. These hard bound copies shall include supplements if required in viva-voce examination, with a covering certificate of guides in prescribed proforma. Additional copies of the supplement shall be provided by the candidate for the external examiners and guides.
- (e) The format of the thesis document shall be in the format prescribed in the guidelines for Research Degree Programmes.

#### **4.23 Thesis Evaluation:**

Detailed procedure for evaluation of thesis shall be prescribed in the Guidelines for Research Degree Programmes.

- (a) Each examiner shall be requested to send a detailed evaluation report and his recommendations on the prescribed proforma within six weeks of the date of receiving the thesis.
- (b) If the thesis report is not received from an examiner in six weeks, a reminder will be sent to the examiner. If no response is received from the examiner in two weeks thereafter, another examiner shall be appointed from the approved panel
- (c) Each Examiner will examine the thesis to judge that the thesis is a piece of research work characterized by:
  - (i) the discovery of facts, or
  - (ii) a fresh approach towards interpretation and application of facts or theories, and creation of new knowledge, and give his opinion on

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<sup>62</sup> Para 4.22 (d) was amended by the thirteenth meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

the candidate's capacity for critical examination and sound judgment.

- (d) All examiners will submit the reports on the prescribed form clearly stating one of the following:
  - (i) The thesis is recommended for the award of Ph.D., or
  - (ii) The thesis is recommended for the award of Ph.D. Degree subject to the candidate giving satisfactory answers to queries specifically mentioned in the report, at the time of Viva Voce examination, or
  - (iii) The candidate be allowed to resubmit his thesis in a revised form, or
  - (iv) The thesis be rejected.
- (e) The examiners reports shall be forwarded to the Guide(s) who will inform the candidate eligible for oral defense only those comments and queries in the reports which require explanation and answers without disclosing the identities of the examiners.
- (f) The reports of all internal examiners shall be counted as one report.
- (g) If all the three reports recommend acceptance of the thesis, the candidate shall be eligible for oral defense.
- (h) In case one of the reports recommends revision of the thesis, Sub-Clause (d) (iii) of Clause 4.23 shall be applicable, and the candidate will have the option to revise the thesis within one year. The revised version of the thesis would be sent to all the examiners for their recommendations. If the candidate does not agree for revision, he may ask for appointment of a fresh examiner, under the provision (j) below.
- (i) If two of the three reports recommend revision of the thesis, the candidate will be given opportunity to revise the thesis accordingly and resubmit it normally within a period of one year for the evaluation by the same set of examiners.
- (j) If one of the reports recommends rejection, the comments and queries in the report, shall be communicated to the candidate, and the candidate shall be given an opportunity to give replies to the comments made in the report. Such reply of the candidate and his thesis shall be sent to the next examiner on the panel for evaluation. If the report of the next examiner also recommends rejection, the thesis shall be rejected.
- (k) If two of the three reports recommend rejection, the thesis shall be rejected. The candidate may, however, be allowed to re-submit the thesis normally after one year, provided the title of the thesis remains

unchanged. Normal procedure will be followed for the evaluation of the resubmitted thesis.

- (l) The new examiner, when appointed, shall be Indian or Foreign depending on whether the thesis was rejected by the Indian or the foreign examiner in the first instance. The reports of all the examiners will be sent to him without revealing the identity of the examiners along with the response of the candidate, if any, to these. The thesis shall be deemed to be acceptable if three out of four examiners recommend acceptance.
- (m) If the fourth examiner recommends revision, the thesis would be suitably revised and resubmitted normally after three months and sent for examination to all the examiners except to the one in whose place the fourth examiner was appointed. In case the fourth examiner recommends rejection or his recommendation for revision is not accepted by the candidate, the thesis shall be rejected.
- (n) Any doubt arising out of the procedure laid down in these regulations shall be referred to the Vice Chancellor for a decision.
- (o) In case of ambiguous recommendations by the examiner, the HOD will approach the examiner for a clear recommendation. In case, a clear recommendation is not forthcoming, the matter may be referred to the Vice Chancellor for his decision.

#### **4.24 Re-submission of Thesis:**

- (a) In case of resubmission of a thesis after revision, an additional fee for the re-evaluation of the thesis shall be payable by the candidate;
- (b) The revised thesis may be submitted normally in one year from the date of intimation for resubmission.

#### **4.25 Oral Defence Examination (Viva-Voce):**

- (1) If the thesis is recommended for award of degree, the candidate shall be required to defend his work/thesis orally (viva-voce examination) before a duly constituted Committee hereinafter referred to as the Oral Defence Committee (ODC). The date and time of the viva-voce shall be duly notified by HOD to enable the interested faculty members, staff members and students to attend it.

(2) Composition of ODC:

- a) <sup>63</sup>For the candidates who get unqualified recommendations of acceptance in three reports in the first instance, the composition of the ODC shall be
    - (i) HOD -Chairman
    - (ii) Guide(s)
    - (iii) One External Examiner
    - (iv) Two faculty members with specialization in the related area to be appointed by HOD with the approval of the Vice Chancellor.
  - b) For candidates who do not get unqualified recommendations of acceptance in three reports in the first instance, the composition of the ODC shall be
    - (i) HOD - Chairman
    - (ii) Guide(s)
    - (iii) Indian External Examiner
  - c) In case of non-availability of the Indian External Examiner for conducting the Viva voce examination, the next person on the approved panel of examiners shall be appointed to be on ODC.
- (3) HOD shall convene a meeting of ODC on an appropriate date. The date of viva-voce shall be communicated to the candidate at least fifteen days in advance.
- (4) In the viva-voce examination, the candidate shall be required to give his response to comments and questions arising out of the examiners reports apart from the queries and questions raised by members of ODC and, with permission of HOD, questions asked by others who are present.
- (5) The ODC shall give its recommendations in the prescribed proforma. The ODC shall have the following options for its recommendations:

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<sup>63</sup> Para 4.25 (2) (a) was amended by the eleventh meeting of the Executive Council held on November 19, 2012

- (i) Ph.D. degree be awarded for the reasons to be recorded
  - (ii) Ph.D. degree be awarded. However, the candidate should prepare a supplement to be added to the thesis document as specified in the report of ODC and or textural corrections, if needed.
  - (iii) Ph.D. degree be not awarded for the reasons to be recorded in the report.
- (6) In case of difference of opinion among the members of ODC, separate reports shall be submitted.
- (7) HOD shall forward the report(s) of ODC to COE in a folder which shall also contain,
- (a) A copy of the thesis along with the Supplement, if required, in the report of ODC and
  - (b) All the reports of the examiners of the thesis.
- (8) COE shall obtain the recommendations of the Standing Committee of URC on the report of ODC and its accompanying documents.
- (9) The recommendations of the Standing Committee shall be submitted to the Vice- Chancellor for final decision, which shall be reported to URC and the Academic Council.
- (10) If any other matter not explicitly provided herein or of an exceptional nature arises, it may be referred to the Vice Chancellor for his decision.

**4.26 <sup>64</sup>Attendance:**

A scholar is expected to have a minimum of 75% attendance in each course for becoming eligible to appear in the end semester Ph.D examinations. A maximum of 5% attendance may be condoned by the Vice Chancellor on recommendations of the Guide and Head of Institution for valid reasons.

Note: The Full-Time Ph.D scholars must have minimum 75% attendance in each semester, else their Semester Progress Report will be marked “Unsatisfactory”.

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<sup>64</sup> Para 4.26 amended vide 44<sup>th</sup> Academic Council meeting held on 23rd & 24th December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7th November 2022 Item No EC (26.20)

**4.27 Passing Grades:**

For Ph.D. students, the minimum passing grade in each Course Units on ten point scale is B + i.e. Point 7.

**4.28 <sup>65</sup>Cancellation of Registration:**

Registration of a candidate shall be cancelled in any one of the following eventualities, after due approval of the Competent Authority:

- (a) If his / her Progress Report is assessed as 'Unsatisfactory' in two consecutive Semesters or his / her Progress Reports in any three Semesters is assessed as 'Unsatisfactory' in entire duration.
- (b) If he/she remains absent for a continuous period of six weeks without sanction of leave.
- (c) If he/she withdraws from the Ph.D. programme and his/her request is duly forwarded by HoI/DRC Chairperson.
- (d) If scholar fails to get qualifying Grade B+ in Courses offered, in a maximum of two attempts.
- (e) If scholar fails to get qualifying Grade B+ in Comprehensive Examination, in a maximum of two attempts.
- (f) If scholar fails to submit his / her thesis within the maximum registration period.

**4.29 Disciplinary Regulations:**

If a Ph.D. candidate is found to be involved in an act of misconduct, misbehavior and/or indiscipline, disciplinary action will be taken by the University as per Regulations relating to maintenance of Discipline by students.

**4.29.1 <sup>66</sup>Submission of Self Declaration Certificate with Thesis.**

To ensure academic integrity and to avoid plagiarism and adoption of unfair means in submission of Ph.D. Thesis, concerned HoIs are required to forward

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<sup>65</sup>Para 4.28 (a to c) & (e to f) amended vide 44<sup>th</sup> Academic Council meeting held on 23rd & 24th December, 2022 (Item No. AC: 44.15) & 26<sup>th</sup> Executive Council meeting held on 7<sup>th</sup> November 2022 Item No EC (26.20)

<sup>66</sup>Para 4.29.1 & 4.29.2 added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 7.14-A)

- (i) Self declaration certificate from the scholar and certificate from Guide and HoI on front page of Long Abstract stating that research Carried out by the scholar is original and has not been submitted for any other degree / diploma to other Institutions.
- (ii) Certificate that the thesis of the scholar has been checked by Turnitin Software.
- (iii) The Plagiarism report alongwith CD to be forwarded to CoE with Thesis for verification and records.

#### **4.29.2 Plagiarism/Adoption of unfair means**

- (i) Plagiarism may be checked by the DRC before submission of thesis. If a false statement of Plagiarism is given the respective guide/DRC members should be held responsible.
- (ii) The council approved the cancellation of Ph.D. Registrations in case of Plagiarism/ Adoption of unfair means in submission of Ph.D. Thesis and approaching Thesis Examiners directly or indirectly.

#### **4.30 Award of Ph.D. Degree:**

- (a) A Ph.D. candidate shall be eligible for award of Ph.D. degree at a Convocation, after the Vice Chancellor has, on the recommendations of the Standing Committee of URC, approved that the degree be awarded and the approval has been subsequently endorsed by the Academic Council.
- (b) <sup>67</sup>On successful completion of the evaluation process announcements of the award of the Ph.D., the Head of the Institution shall submit a soft copy of the Ph.D. thesis to the University within a period of 30 days for onwards transmission to UGC for hosting the same in INFLIBNET, accessible to all authorities / University. Hard copy of the same will be placed in Central Library.

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<sup>67</sup> Para 4.30 (b) was additional provision by the ninth meeting of the Executive Council held on April 06, 2011



**4.30.1** <sup>68</sup>Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

**5. DEGREE OF DOCTOR OF LETTERS / DOCTOR OF SCIENCE / DOCTOR OF LAWS**

**5.1 Preamble:**

The Degrees of Doctor of Letters/ Doctor of Science/Doctor of Laws are the highest Post-Doctoral degrees of this University in respective disciplines which are awarded to a candidate who has earlier acquired a Ph.D. degree. This Post-Doctoral degree is awarded on the basis of original and independent research in a particular discipline that has made distinct contributions to the advancement of knowledge, as evidenced by publications in reviewed journals and are recognized by peers. The research work of the candidate must have been characterized either by the discovery of facts or by a fresh approach towards interpretation of facts or theories, or formulating a new theory/hypothesis and evince his capacity for original thinking, critical examination and sound judgment.

**5.2 Administration of the Programme:**

- (a) The Programme leading to Post-Doctoral degrees will be offered at the University Teaching Departments/Constituent Units;
- (b) Subject to the general guidance of the Academic Council, research work in the University leading to Post-Doctoral degrees shall be overseen by the University Research Council;
- (c) The Programme shall be offered on Full -time and also on part-time basis

**5.3 Duration of the Programme:**

The duration of the Programme is three years from the date of enrolment. Provided, however, that the University Research Council may on the recommendations of DRC, permit a Post Doctoral degree thesis to be submitted in less than three years from the date of enrolment.

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<sup>68</sup> Para 4.30.1 vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 7.14- A)

**5.4 Eligibility Conditions:**

The applicant for enrolment must have

- (a) A Doctoral degree from a recognized Indian or foreign University in the relevant discipline and
- (b) At least three years teaching/research experience after the award of the Ph.D. Degree and active engagement in research work.

**5.5 Enrolment for the Programme:**

- (a) An applicant for enrolment under this programme shall submit his application in the prescribed form to the concerned Department/Constituent Unit. Non Resident Indians (NRI), Persons of Indian Origin (PIO) and Foreign Nationals will, however, apply for admission to the programme in accordance with the policy guidelines laid down by the Government of India.
- (b) The applicant for enrolment to Post-Doctoral Programme shall submit the following:
  - (i) a list of his publications in standard format;
  - (ii) reprints of his three best papers;
  - (iii) a copy of his Ph.D. thesis
  - (iv) The research proposal recording an outline of the proposed research work, why it is important, the methodology to be used and the expected outcome of the research, specifically highlighting in what respect the research will advance the knowledge in the particular field of his Post-Doctoral work.
- (c) The DRC concerned shall constitute for each candidate an Academic Expert Committee (AEC) of four members including the HOD who shall chair the Committee. The remaining three members shall be reputed scholars in the relevant area, at least one of whom shall be from outside. The Academic Expert Committee shall consider and recommend the suitability of the applicant.
- (d) The Academic Expert Committee, while considering the proposal shall invite the applicant for detailed discussion, and make a recommendation with a provisional date of registration for starting of the Post Doctoral programme.

- (e) The HOD after considering the recommendations of the Academic Expert Committee, shall recommend to the University Research Council for approval of the applicant for registration to the Post Doctoral Research Degree Programme.
- (f) The date of registration for the Programme will be finalised by the DRC after the approval of the candidature by the URC.

**5.6 Preparation & Submission of Thesis:**

- (a) Post-Doctoral candidates will not have guides and will do research on self- guidance basis.
- (b) The candidate shall submit his thesis which must be on one main theme and should not be derived from a series of unconnected papers of the candidate.
- (c) The thesis shall comprise a holistic document to include in a self-contained manner the research done for the award of the post-doctoral degree. Publication of papers in reviewed journals is essential. Reprints of published papers and manuscripts of the communicated papers shall be appended in the thesis volume. The thesis shall be submitted in a format as prescribed in the guidelines.
- (d) The thesis shall be accompanied by a declaration signed by the candidate that the thesis has been composed by him independently and a certificate that the contents of the thesis have not previously formed the basis for the award of any other Degree or Diploma or Associateship, Fellowship or other similar title.

**5.7 Seminar:**

The candidate, before submitting his thesis and its long abstract, will present his work in a Seminar in which DRC, members of Academic Expert Committee and other faculty members, Ph.D. Scholars and Post-graduate students are expected to be present.

**5.8 Long Abstract:**

- (a) On completion of research work, the candidate shall submit to DRC four copies of the long abstract normally within two months after the presentation in the Seminar and also suggest the title of the thesis.

- (b) The candidate shall prepare a critique on his thesis and submit four copies along with the long abstract. The critique is an assessment by the candidate of his post-doctoral work to present the high points of his research, their significance in terms of original contribution and advancement of knowledge, and new area, if any, the research has opened up.
- (c) The HOD will convene a meeting of the Academic Expert Committee in which the candidate shall be required to be present. The Academic Expert Committee will scrutinize the long abstract for approval, study the critique, and approve the title of the thesis after its revision, if necessary.
- (d) The Academic Expert Committee shall recommend a panel of atleast three Indian and three foreign examiners. The HOD shall forward the panel of Examiners to the CoE.
- (e) The CoE shall get the panel approved by the Vice Chancellor.

#### **5.9 Evaluation of Thesis:**

- (a) The candidate shall submit four copies of his thesis which shall contain its long abstract.
- (b) The CoE shall obtain consent from three examiners from the approved panels, one of whom shall be a foreigner, for evaluation of the thesis. The thesis shall be sent to the Examiners by him for evaluation.
- (c) If two or all the three examiners declare that the work submitted by the candidate is **not** a distinguished and significant contribution to knowledge and does not merit the award of the Degree of Doctor of Letters/Doctor of Science/Doctor of Laws, the thesis will be rejected.
- (d) In case one of the examiners out of the three, declares that the work submitted by the candidate is not a distinguished and significant contribution to knowledge, the CoE will appoint a fourth Examiner Indian or foreigner, as the case may be and will forward the thesis to the fourth Examiner for evaluation. The recommendation of the fourth examiner shall be treated as final for award of degree.
- (e) The CoE shall place the recommendations of all the examiners before the University Research Council. The Council, after considering the reports of the examiners may recommend that the candidate is worthy of the award of the Post-Doctoral degree. If the University Research Council

recommends that the candidate be asked to improve the thesis, the candidate shall be permitted to resubmit his thesis not earlier than six months and not later than one year, from the date notified by the CoE

- (f) The recommendations of the University Research Council shall be placed before the Academic Council for information.
- (g) The candidate shall, if he publishes the thesis, state on the title page that it was a thesis approved for the award of the D.Sc., D.Litt. LLD of the Amity University Uttar Pradesh.

## **6. GENERAL PROVISIONS FOR RESEARCH DEGREE PROGRAMMES:**

### **6.1 Issuance of Provisional Certificates:**

After the endorsement of the Academic Council for the award of Ph.D. / Post-Doctoral degree, the candidate shall be issued a Provisional Certificate for having completed the requirement of the degree on the condition that the candidate has submitted two hard-bound copies of the final version of his thesis and has cleared all the dues.

### **6.2 Residual Clause:**

- (a) Notwithstanding anything contained in these Regulations, all categories of candidates shall be governed by the Regulations and Guidelines.
- (b) Notwithstanding anything stated in these Regulations, for any unforeseen issues arising and not covered by Act, Statutes and Ordinances, or in the event of differences of interpretation, the Vice-Chancellor shall take a decision. The decision of the Vice-Chancellor shall be final.

### **6.3 Composition of Committees and their functions:**

#### **6.3.1 <sup>69</sup>The Student Research Committee:**

- a) The Student Research Committee shall comprise ; **Centre Head / HoD / HoI as the Chairperson**
- i) Centre Head/HoD of well structured institutions where HoD/HoC system is operational with the approval of Competent Authority.

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<sup>69</sup> Para 6.3.1 (a) & (b) added vide 17<sup>th</sup> Executive Council meeting held on 11<sup>th</sup> August 2016 , Item No EC ( 7.14- A)

- ii) The Institution may opt for SRC chaired by HoD/HoC/HoI having more than 100 M.Phil / PhD scholars enrolled.
  - iii) The Institution has Deptt./Centre with specialized stream/area (e.g. ECE, MAE, HR, Applied Chemistry etc.).
  - iv) Following Institutions may opt for SRC chaired by HoD/HoC/HoI depending upon PhD/M.Phil scholars registered in the Institution:
    - a). ABS
    - b). ASET
    - c). AIB
    - d). AIAS
  - v) Any other institution which meets either criteria (ii) or (iii)
  - vi). HoD/HoC is Ph.D qualified. In case HoD/HoC is not Doctorally (PhD) qualified, HoI will continue as Chairperson of SRC. However, if HoI is not doctorally qualified, Chairperson FRC or Dean of Faculty will chair the SRC/DRC.
  - vii). HoD/HoC has substantial experience (3yrs minimum) in guiding Ph.D scholars.
  - viii). HoD has published good quality research papers in peer reviewed indexed journals
    - Guide
    - Co-Guide(s)

Two other teachers in the related area from within University External Expert may be co-opted, if required.
- (b) SRC shall perform the following functions:
- (i) Invite the candidate for scrutinizing his research proposal to ensure that the proposal is suitable
  - (ii) Ascertain the availability of facilities required for the proposed research

- (iii) Prescribe the Course Units to be taken by the candidate, subject to a minimum of two or in exceptional cases, recommend exemption from the requirement with justification
- (iv) Recommend to DRC about the suitability or otherwise of the candidate and his proposal along with relevant details and documents
- (v) Periodically monitor the performance of the work of the candidate and provide advice to candidate, where necessary
- (vi) Approve the long abstract of the thesis on its completion and the final title of the thesis.
- (vii) Recommend the panel of examiners.
- (viii) Full adherence to Academic Calendar & norms of Ph.D. Programmes.

#### **6.3.2 Departmental Research Committee (DRC)**

- (a) The composition of the Departmental Research Committee will be as under:
  - (i) The Head of the Department/ Constituent Unit - Chairperson
  - (ii) Maximum three Professors and three other faculty members of the Department by rotation
  - (iii) Maximum three external experts including those from other Departments/Constituent Units, nominated by the Vice Chancellor.

Provided, however, the total number of internal and external members shall not exceed six.
- (b) <sup>70</sup>The functions of Departmental Research Committee shall be as under:

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<sup>70</sup> Para 6.3.2 {b (i-xii)} was additional provision by the thirteenth meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

- (i) DRC shall function as progress monitoring authority approving the topics & synopsis.
  - (ii) Progress monitoring of the scholar, semester wise.
  - (iii) To send the Progress Report of scholar to University HQ, in consultation with Chairperson FRC.
  - (iv) Registration & re-registration of the scholar.
  - (v) To constitute SRC for each scholar, in consultation with the Chairperson FRC.
  - (vi) To consider the recommendations of SRC for action, as required, till final submission of the thesis.
  - (vii) To send the recommendations to FRC / URC, as applicable.
  - (viii) To recommend area specific course work to each scholar, in addition to the compulsory course work of RM & QT.
  - (ix) To monitor the course work classes of compulsory courses of Ph.D. Scholars in consultation with Chairperson FRC.
  - (x) To conduct and monitor the course work classes of area specific courses for each scholar and to recommend names of faculty who will teach courses to Ph.D. Scholars in consultation with Chairperson FRC.
  - (xi) To take necessary action for online academic planning i.e. allocating faculty and scheduling the classes on Amizone
  - (xii) Full adherence to Academic Calendar & norms of Ph.D. Programmes.
- (c) The Departmental Research Committee shall meet as and when necessary.



- (d) Fifty percent of the membership shall form the quorum for the meeting.
- (e) The tenure of members of the DRC other than the Heads of Departments/ Constituent Units shall be two years. A member can be re-nominated for another term.

### **6.3.3 <sup>71</sup>Faculty Research Committee (FRC)**

- (a) The composition of the Faculty Research Committee shall be as under:
  - (i) Dean of the Faculty / Nominee of Vice Chancellor from amongst the senior most HoIs / Scientists or equivalent - (Chairperson)
  - (ii) Upto 5 HoIs of the concerned disciplines (Members) by rotation
  - (iii) Upto 3 senior Professors by rotation
  - (iv) One of the Professors of the Faculty (proposed by the Dean and approved by the Vice Chancellor) as Member Secretary
  - (v) Upto 6 External Experts
  - (vi) Special Invitees as required & proposed by the Chairperson and approved by the Vice Chancellor

50% of the total strength shall form the quorum.
- (b) The Faculty Research Committee shall perform the following functions:
  - (i) To invite and scrutinize applications and make admissions in Ph.D. Programs offered by the Faculty, in coordination with Admission Department.
  - (ii) To work out the visit schedule of newly admitted Ph.D. scholars to their domain Institutions and interaction with the faculty members and concerned HoIs.

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<sup>71</sup> Para 6.3.3 was additional provision by the thirteenth meeting of the Executive Council held on August 25, 2014 (Item No. 13.26)

- (iii) To recommend name of faculty for appointment of Ph.D. Guide, on submission of Scholar's preference.
- (iv) To constitute the SRC for each scholar, in consultation with chairperson DRC.
- (v) To conduct and monitor the course work classes of compulsory courses and to recommend names of faculty who will teach 'Research Methodology' & 'Quantitative Techniques / Fundamentals of Life Sciences' to Ph.D. Scholars, in consultation with concerned HoIs.
- (vi) To take necessary action for online academic planning i.e. allocating faculty and scheduling the classes on Amizone
- (vii) To monitor the course work classes of area specific courses for each scholar in coordination with concerned HoIs.
- (viii) To consider the recommendation of DRC for final submissions of thesis for the approval of University Research Council (URC).
- (ix) Full adherence to Academic Calendar & norms of Ph.D. Programmes

#### **6.3.4 University Research Council (URC)**

##### **6.3.4.1 Role:**

The role of URC is to formulate and lay down policy guidelines for the conduct of Ph.D. and Post Doctoral degree programmes, and to ensure high standards of research work in such programmes.

##### **6.3.4.2 Composition:**

- (a) The composition of the University Research Council shall be as follows:
  - (i) The Vice Chancellor or his nominee - Chairperson
  - (ii) The Pro-Vice Chancellor(s)
  - (iii) <sup>72</sup>External experts nominated as under:

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<sup>72</sup>Para 6.3.4.2(a) (iii) & (iv) amended/ added vide 16<sup>th</sup> Academic Council meeting held on 14.10.2013 (Item No. 16.44) & vide 12<sup>th</sup> Executive Council meeting held on 01.11.2013 (Item No. 12.40)

- By the Chancellor - Two
  - By the Vice Chancellor – One
  - By the Academic Council - Two
- (iv) Three Internal Experts nominated by the Chancellor
  - (v) Three Deans/Heads of Departments/ Constituent Units to be nominated by the Vice Chancellor
  - (vi) Two teachers of Department/Constituent Units to be nominated by the Vice Chancellor
  - (vii) CoE - Member Secretary
- (b) The term of office of the members (other than ex-officio members) will be two years from the date of nomination.
  - (c) The University Research Council shall meet at least once a quarter.
  - (d) Fifty percent of the total membership shall form the quorum for any meeting.

**6.3.4.3 Functions:**

Subject to the provisions of the Act and Statutes, the University Research Council shall perform the following functions:

- (a) Formulate policies related to and oversee research for Ph.D. and post-doctoral degree programmes;
- (b) Formulation of guidelines for registration of students, thesis supervision, programme design, and thesis evaluation;
- (c) Monitoring of research indicators for such evaluation
- (d) Review and recommend areas/themes/topics for research
- (e) Consider evaluation reports of Examiners for award of Ph.D. and Post-Doctoral degrees.
- (f) To consider and approve the recommendations of DRCs including waivers of and exemptions from any of these Regulations.
- (g) Delegation of any of its functions to the concerned DRC.

- (h) Preparation of the consolidated reports on research efforts of the University
- (i) Any other work related to research development and coordination.

**7. Residual Provisions**

- (1) The Academic Council may, grant exemption in exceptional cases, from the operation of any of the Regulations, where necessary in special cases with valid reasons and without diluting Academic standards.
  - (2) Notwithstanding anything stated in these Regulations, for any unforeseen issues arising, and not covered by these Regulations or in the event of differences of interpretation, the Vice-Chancellor may take a decision, after obtaining, if necessary the opinion/advice of a Committee consisting of any or all the Heads of Departments/Heads of constituent Units. The decision of the Vice-Chancellor shall be final.
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Annexure-A

Category	Domains	No. of publications required to become Ph.D Guide		
		Professor	Associate Professor	Assistant Professor
Category-1	Agriculture & allied Disciplines	10	06	03
	Bio Sciences & Biotechnology			
	Engineering & technology			
	Health & allied sciences			
	Natural Resources & Environmental Sciences			
	Science & Technology			
Category-2	Arts, Humanities and Journalism & Mass Communication	05	03	02
	Social Sciences / Public Policy/ International and Defence & Strategic studies			
	Business Administration / Commerce / Management / Finance			
	Education			
	Hotel Management / Hospitality / Tourism / Travel			
	Law			
	Architecture, Planning & Interior Design			
	Rehabilitation Sciences			
	Applied Arts / Fine Arts / Performing Arts / Visual Arts			

**R-02**